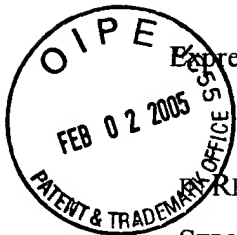


02-04-05

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Express Mail Label No. EV 337 197 131 US

Attorney Docket No. 57636-8022.US00

RE APPLICATION OF: Laus et al.

SERIAL NO.: 09/821,883

FILED: March 30, 2001

FOR: **COMPOSITIONS AND METHODS FOR
DENDRITIC CELL-BASED IMMUNOTHERAPY**

EXAMINER: Rawlings, S.

ART UNIT: 1642

CONF. NO. 5347

Mail Stop AF

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

1. Transmitted herewith are the following:
 - ☒ An Amendment Under 37 CFR 1.116 (After Final)
 - ☒ A Petition for One-month Time Extension
 - ☒ A Small Entity Statement
 - ☒ A Check in the amount of \$60 covering time extension fee due.
2. Entity Status
 - ☒ Small Entity Status (37 CFR 1.9 and 1.27) is proper for this case.
3. Conditional Petition for Extension of Time:

An Extension of Time is requested to provide for timely filing if necessary for timely filing of this transmittal and enclosures.
4. Provisional Fee Authorization

Please charge any underpayment in fees for timely filing of this transmittal and enclosures to Deposit Account No. 50-2207.

Respectfully submitted,
Perkins Coie LLP

Jacqueline F. Mahoney

Jacqueline F. Mahoney
Registration No. 48,390

Date: Feb. 2, 2005

Correspondence Address:

Customer No. 22918

Phone: (650) 838-4410



Attorney Docket No. 57636-8022.US00

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

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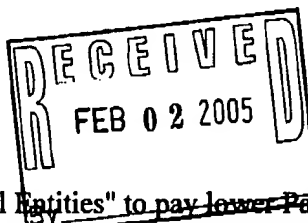
EXAMINER: Rawlings, S.

ART UNIT: 1642

CONF. No. 5347

SMALL ENTITY STATUS

Mail Stop AF
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450



The Patent Office allows "Small Entities" to pay lower Patent Office fees. However, improperly claiming small entity status can invalidate your patent. Section A below will help you determine if you or your business qualify as a small entity. Section B includes a certification for small entity status. If after reviewing the following materials you determine that you qualify for small entity status, please complete the certification and return it to us. If we do not receive the signed certification from you, we will not claim small entity status for the application identified below, and you will not qualify for the lower Patent Office fees. If you do complete the certification, we may ask you to confirm your small entity status at various points during the prosecution of the application and the life of the issued patent.

A. Definition of Small Entity

A small entity means any "person," "small business concern," "nonprofit organization," or a combination of these, that holds the rights in the invention and (a) has not assigned or licensed the rights to another who is not a small entity, and (b) is not obligated to assign or license the rights to another who is not a small entity.

- (1) *Person.* An inventor or other individuals who hold the rights in an invention.
- (2) *Nonprofit organization.* A nonprofit organization is either:
 - (i) A university or institution of higher education in any country;
 - (ii) An organization described in section 501(c)(3), and exempt from taxation under section 501(a) of the Internal Revenue Code;
 - (iii) Any nonprofit scientific or educational organization qualified under a state's nonprofit organization statute; or
 - (iv) Any nonprofit organization located in a foreign country, that would otherwise qualify as a "nonprofit organization" if it were located in the U.S.A.

- (3) *Small business concern.* Any business concern whose number of employees, (part-time and full-time), including affiliates, does not exceed 500 persons.

B. Certification

Applicant or Patentee: Reiner Laus et al.

Assignee: Dendreon Corporation

Attorney Docket No: 57636-8022.US00

Application /Patent No.: 09/821,883

Filed or Issued: March 30, 2001

For: COMPOSITIONS AND METHODS FOR DENDRITIC CELL-BASED IMMUNOTHERAPY

I hereby certify that the owner of the application/patent identified above qualifies for small entity status because the owner has not assigned or licensed the rights in the invention to another who is not a small entity, and is not obligated to assign or license the rights in the invention to another who is not a small entity, and because:

☐ The owner is an individual(s)

Name _____ Name _____

Signature _____ Signature _____

Date _____ Date _____

Address _____ Address _____

☐ The owner is a non-profit organization

Organization Name _____

Signor's Name _____ Signature _____

Title _____ Date _____

Organization Address _____

☒ The owner is a small business concern

Business Name Dendreon Corporation

Signor's Name: Rick Hamm Signature: 

Title: Senior Vice President and General Counsel Date: 2/2/05

Business Address: 3005 First Avenue, Seattle, WA 98121